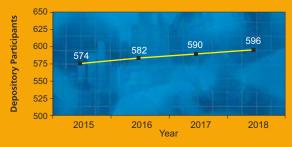


August 2018

Beneficial Owners 1.50 1.50 1.50 1.40

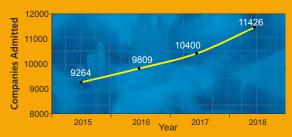
During the last 12 months, the number of active BO accounts with CDSL has increased from 1.30 Crore as on July 31, 2017 to 1.56 Crore as on July 31, 2018, which indicates growth of 20%.

Depository Participants



As on July 31, 2018 CDSL has 596 DPs offering DP services from over 17,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs & Custodians.

Unique Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on July 31, 2018, the securities of 11,426 unique companies have been admitted for demat with CDSL.

CDSL's 20th ANNUAL GENERAL MEETING (AGM)

CDSL's 20th AGM was held on August 20, 2018 at 4.00 pm at Ravindra Natya Mandir, Prabhadevi, Mumbai. After listing on National Stock Exchange (NSE) this was CDSL's first AGM and was attended by shareholders, officials and other stake holders of company.



Shri T.S. Krishna Murthy, Chairman, CDSL (4th from left), addressing the shareholders at 20th CDSLAGM.

MANDATORY DEMATERIALIZATION FOR TRANSFER OF SECURITIES

SEBI, vide its circular no <u>SEBI/LAD-NRO/GN/2018/24</u> dated June 08, 2018, has made amendments to SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS). As per the aforesaid amendment, transfer of securities of listed companies shall not be processed unless the securities are held in the demat form with the depository. Transmission or transposition of securities will be exempted from the amendment. The procedure will be effective from December 05, 2018.

Investors may approach any CDSL DP to open a demat account or to demat your physical shares in your existing demat account before the deadline.

For detailed information kindly refer to CDSL communique no CDSL/OPS/DP/POLCY/2018/351.

UPDATION OF NIL BANK ACCOUNT DETAILS IN DEMAT ACCOUNT

With a view to ensure direct credit of dividend/interest and other cash corporate actions to the bank account of BOs, CDSL vide communique no CDSL/OPS/DP/662 dated February 25, 2006 had informed DPs to obtain and update the bank details in the demat accounts from BO. This will also help to reduce failed/wrong credits of corporate actions. Further, vide Communiqué no. CDSL/OPS/DP/SYSTM/3884 dated August 22, 2013, capturing of Dividend Bank detail was made mandatory in CDSL at the time of account opening.

Investors are requested to approach their DPs and provide the bank account details in their demat account to enable the aforesaid benefits.

For detailed information kindly refer to CDSL communique no CDSL/OPS/DP/BNKDT/2018/420 dated August 10, 2018.

RTA SERVICES BY CVL

CDSL Ventures Limited (CVL) has obtained registration from SEBI as a category–I, Registrar & Share Transfer Agent. This enables CDSL group to extend its portfolio of services to its stakeholders under one roof.

CDSL Ventures Limited would offer:

 RTA services to Issuers for equity and debt securities with primary focus on unlisted companies.

CDSL e-Infoline

- A client Company signing up for CVL RTA services would enjoy the following benefits:-
- a. Enhanced security and dealing with an Institution of repute
- b. Reduced documentation
- c. Improved timelines

Joining Formalities for RTA services would entail:

- Bipartite agreement between CVL and Entity
- Master Creation Form
- Board Resolution and Signature of Authorised signatory For any queries, kindly contact Mr. Milind on 022- 61216913, milinds@cdslindia.com and Mr. Sanjeev Kate on 022-61216905, sanjeevk@cdslindia.com.

RECORDING OF NON DISPOSAL UNDERTAKING (NDU)

The introduction of dematerialisation of securities has presented many advantages to investors; one of which is creation of pledge of securities. Pledge is a process of taking loan against securities. At present, whenever a deed of pledge is executed, the DP of the Pledgor needs to be notified in order to initiate the creation of a pledge in the depository system. The pledge is created on acceptance by the Pledgee DP and the pledged securities cannot be moved from the pledgor's demat account till the pledge is removed. However, such a mechanism was not available for Non-Disposable Undertaking (NDU). Non Disposal Undertaking (NDU) is undertaking given by a shareholder, primarily promoters (Borrowers), not to transfer or otherwise alienate the securities and are in the nature of negative lien given in favour of another party, usually a lender.

SEBI, vide its Circular no. <u>CIR/MRD/DP/56/2017</u> dated June 14, 2017, had informed about creating a framework to record Non Disposal Undertaking in the depository system. CDSL is pleased to introduce the NDU function in its system which provides the framework to capture details of NDU in depository. The detailed process, forms, undertakings and charges are provided in CDSL communique no. <u>CDSL/OPS/DP/POLCY/2018/318</u>.

CDSL COMMODITY REPOSITORY LIMITED (CCRL)

With a view to promote holding & transaction of both, negotiable & non-negotiable warehouse receipts in electronic form, CDSL has promoted CDSL Commodity Repository Limited (CCRL) in March, 2017. CCRL has received its registration certificate in September 2017 and has commenced operations on 30th September, 2017.

Currently, CCRL has registered 105 Repository Participants (RPs). Further, CCRL does not levy any charges to register as a RP. To register as a RP of CCRL please contact your CDSL RM or send us an email on ccrlhelpdesk@cdslindia.com; pritams@cdslindia.com; amolp@cdslindia.com; or contact Mr. Pritam Shetty on (022) 2305- 8546 or Mr. Amol Pandav on (022) 2305- 8557. For more details about CCRL RP please visit www.ccrl.co.in.

NISM DEPOSITORY OPERATIONS CPE PROGRAM

As an NISM accredited CPE Provider for conducting the Depository Operations Program, CDSL conducted Continuing Professional Education (CPE) training programme at Mumbai and Jaipur on July 14, 2018 and Indore on July 28, 2018.

WITHDRAWAL OF CDSL DP

DPID	OLD NAME
12057400	DESTINY SECURITIES LIMITED
12060900	KARMIC STOCK BROKING PRIVATE LIMITED

CHANGE IN DPs NAME

DPID	OLD NAME	NEW NAME
33200	ANGEL BROKING PRIVATE LIMITED	ANGEL BROKING LIMITED

SUCCESSFUL FACILITATION OF e-VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions through the internet till the closure of an e-Voting event. At present, more than 4600 companies have signed agreements with CDSL for its e-Voting facility, of which over 4200 companies have used CDSL's e-Voting platform, www.evotingindia.com to conduct electronic voting for AGMs and postal ballot resolutions for over 16,500 occasions. Currently, many prominent Clubs and Trade Organizations have signed the agreement with CDSL for availing e-Voting facility. CDSL also provides its e- Voting system at the venue of meeting. This has enabled shareholders to cast their vote electronically and also helped the Scrutinizer in getting a consolidated voting report. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-23058615, anandt@cdslindia.com or Mr. Ankit Bandivadekar on 022-23058634, ankitb@cdslindia.com.

INVESTOR AWARENESS PROGRAMMS (IAPs)

During July 2018, CDSL IPF Trust has conducted 20 IAPs across India. DPs / CMs also participated in these IAPs. These programs were directed towards educating Investors about Depository Services, Investing in Capital Markets, etc. For details on forthcoming IAPs, kindly click on Investor Meet.



Mr. Savio Joseph of SBICAP Securities Limited addressing the audience at an IAP conducted in Trivandrum, Kerala on July 21, 2018



Mr. Nirmal Mehrotra – AGM SEBI addressing the audience at an IAP conducted in Ujjain, Madhya Pradesh on July 27, 2018.



Mr. Amit Pawar of Karvy Stock Broking Limited addressing the audience at an IAP conducted in Pimpri-Chinchwad, Maharashtra on July 14, 2018.

Now! Make Every Vote Count

Experience CDSL's

e-Voting

Empowering Investors

Features:

- 1. Facilitates shareholders to vote electronically on www.evotingindia.com for Postal Ballot, AGMs, EGMs etc.
- 2. Reduction in administrative cost and faster and transparent voting process viz:
 - Saving in postage and storage of postal ballots
 - No verification of signatures
 - Automated counting of electronic votes
- 3. Elimination of invalid votes and loss in transit cases.
- 4. Ample time to vote for Shareholders Till the last minute.
- 5. Venue voting facility available.
- 6. e-Voting Mobile App (m-Voting)
- 7. Facility for Webcast of AGM

Over 4600 companies have registered for CDSL's e-Voting facility. Have You?



To join, please contact our e-Voting team on (022) 2305 8615 / 8542, or email: helpdesk.evoting@cdslindia.com

